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DATE: November 30, 2006

TO: Examiner GOTTSCHALK, Martin A. **FAX NO.:** 571-273-8300
USPTO GPAU 3694

FROM: Jeffrey G. Toler
Reg. No.: 38,342

RE U.S. App. No.: 10/651,076, filed August 28, 2003

Applicant(s): Michael Grannan, et al.

Atty Dkt No.: 1033-SS00415

Title: SYSTEM AND METHOD FOR MANAGING DIGITAL RIGHTS AND
CONTENT ASSETS

NO. OF PAGES (including Cover Sheet): 12

MESSAGE:

Attached please find:

- ☒ Transmittal Form (1 pg)
- ☒ Preliminary Amendment (10 pgs)

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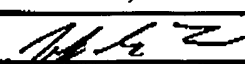
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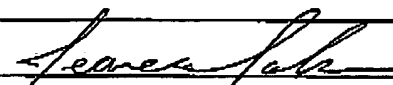
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|--|----------------------|-------------------------|--------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/651,076 | |
| | Filing Date | August 28, 2003 | |
| | First Named Inventor | Michael Grannan, et al. | |
| | Art Unit | 3694 | |
| | Examiner Name | GOTTSCHALK, Martin A. | |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | 1033-SS00415 |

| ENCLOSURES (Check all that apply) | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|---|----------|--------|
| Firm Name | Toler Schaffer, LLP | | |
| Signature |  | | |
| Printed name | Jeffrey G. Toler | | |
| Date | 11-30-2006 | Reg. No. | 38,342 |

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NOV 30 2006 Attorney Docket No.: 1033-SS00415

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael Grannan, et al.

Title: SYSTEM AND METHOD FOR MANAGING DIGITAL RIGHTS AND
CONTENT ASSETS

App. No.: 10/651,076 Filed: August 28, 2003

Examiner: GOTTSCHALK, Martin A. Group Art Unit: 3694

Customer No.: 60533 Confirmation No.: 7264

Atty. Dkt. No.: 1033-SS00415

MS Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

Prior to examination, please amend the above-identified application as follows:

| | |
|---|--------------------------------|
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| <u>Jeanneaux Jordan</u> | <u><i>Jeanneaux Jordan</i></u> |
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